



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**Honeywell No. H0004213-5866**  
(MBHB Case No. 06-240)

**PATENT**

In re Application of:	)	
	)	
Thomas R. Keyser	)	Examiner: B. Healy
	)	
Serial No.: 10/811,767	)	Group Art Unit: 2883
	)	
Filed: March 29, 2004	)	
	)	
For: Silicon Optoelectronic Device	)	

**TRANSMITTAL LETTER**


Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In regard to the above-identified patent application:

1. We are transmitting herewith the attached:
  - a) Transmittal Letter in Duplicate;
  - b) First Supplemental Information Disclosure Statement;
  - c) First Supplemental PTO Form 1449.
2. With respect to fees:  
☒ Check in the amount of \$180.00 is enclosed.  
☒ Please charge any additional fees or credit over-payments to the Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
3. CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and papers, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service, with sufficient postage as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,

Dated: July 27, 2006

  
\_\_\_\_\_  
Marcus J. Thymian  
Reg. No. 43,954



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PATENT

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 Filed: March 29, 2004 )  
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 For: Silicon Optoelectronic Device )

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. Section 1.97 - 1.99, the Applicant wishes to make the following references of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. Section 1.56. The references are listed in the PTO-1449 form enclosed herewith. Pursuant to 37 C.F.R. § 1.98, as amended by 69 Fed. Reg. 56481, effective October 21, 2004, copies of U.S. Patents and Published U.S. Patent Applications are not required.

In the judgment of the undersigned, portions of the listed references may be material to the Examiner's consideration of the presently pending claims. However, the references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative relevance between references, whether cited in this or prior statements. This statement is not a representation that the listed references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. Section 102 or Section 103.

**U.S. PROVISIONAL APPLICATIONS**

No.	Document Number	Filing Date	Name
1.	60/458,501	3/28/03	Patel et al.
2.	60/457,242	3/25/03	Montgomery et al.
3.	60/464,491	4/21/03	Patel et al.

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## U.S. PUBLISHED APPLICATION DOCUMENTS

No.	Document Number	Date	Name
4.	2004/0240822 A1	12/2/04	Patel et al.

This Information Disclosure Statement is being filed:

- ☐ within three months of the filing date of a national application; within three months of the date of entry into the national stage as set forth in 37 C.F.R. § 1.491 in an international application; before the mailing date of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under §1.114. 37 C.F.R. §1.97 (b)
- ☒ **after** three months of the filing date of a national application, or the date of entry into the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or **after** the mailing date of a first Office Action on the merits, but **before** the mailing date of a Final Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311 (whichever occurs first), and includes (37 C.F.R. § 1.97 (c):
- ☐ the Certification under 37 C.F.R. § 1.97(e) (see "Certification" below)

**OR**

- ☒ the fee of \$180 set forth in 37 C.F.R. § 1.17(p) (see "Fees" below).
- ☐ **after** a Final Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311 (whichever occurs first), but before, or simultaneously with, the payment of the issue fee, and includes the Certification under 37 C.F.R. § 1.97(e) (see "Certification" below), and the Petition Fee set forth in 37 C.F.R. § 1.17(p) (see "Fees" and "Method of Payment of Fees" below). Applicants hereby petitions for consideration of the Information Disclosure Statement submitted herewith and the accompanying references in examination of the subject patent application.

### CERTIFICATION

- ☒ The **undersigned** hereby certifies that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement.

**McDonnell, Boehnen, Hulbert & Berghoff LLP**  
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Chicago, Illinois 60606  
Telephone: (312) 913-0001  
Facsimile: (312) 913-0002



The **undersigned** hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

FEES

☐

No fee is owed by the applicant(s).

☒

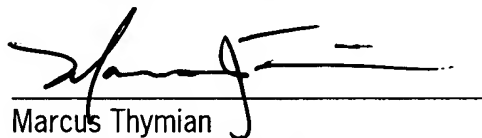
The IDS Fee of \$180.00 under 37 C.F.R. § 1.17(p) is enclosed herewith. See attached transmittal form for itemization of this fee.

**CERTIFICATE OF MAILING AS "FIRST CLASS MAIL"**

I hereby certify that this correspondence and all attached paper(s) and/or fee(s) are being deposited with sufficient postage, with the United States Postal Service as FIRST CLASS MAIL TO ADDRESSEE in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, with sufficient postage, on this 7<sup>th</sup> day of July, 2006.

Respectfully submitted,

Date: July 27, 2006

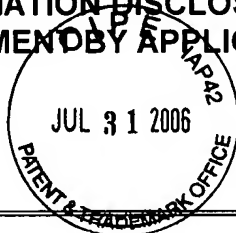


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Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office  <b>FIRST SUPPLEMENTAL          INFORMATION DISCLOSURE          STATEMENT BY APPLICANT</b>	Honeywell Ref. No. H0004213-5866  MBHB Case No. 06-240	Serial No.  10/811,767
	Applicant: Keyser et al.	
	Filing Date: March 29, 2004	Group: 2883



### U.S. PROVISIONAL APPLICATIONS

Examiner Initial	No.	Document Number	Filing Date	Name	Class	Subclass
	1.	60/458,501	3/28/03	Patel et al.		
	2.	60/457,242	3/25/03	Montgomery et al.		
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	4.	2004/0240822 A1	12/2/04	Patel et al.	385	130	3/23/04

### FOREIGN PATENT DOCUMENTS

Examiner Initial	No.	Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No

### OTHER DOCUMENTS - Including Author, Title, Date, Pertinent Pages, Etc.

Examiner Initial	No.	

**Examiner**
**Date Considered**

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with any communication.